# Annex C: Surveillance Plan

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| **Surveillance PlanISO 22000:2018 and ISO 45001:2018** |
| **1**: Initial Audit**2:** Surveillance 1 Audit**3**: Surveillance 2 Audit**4**: Recertification Audit | **Plan** |
| **1****(202X)** | **2** **(202X)** | **3****(202X)** | **4****(202X)** |
| **ISO 22000:2018 and ISO 45001:2018 Clauses** |
| **4 Context of the organization** |
| **4.1** | Understanding the organization and its context |  |  |  |  |
| **4.2** | Understanding the needs and expectations of interested parties (FSMS) |  |  |  |  |
| Understanding the needs and expectation of workers and other interested parties (OHSMS) |
| **4.3** | Determining the scope of the food safety management system (FSMS) |  |  |  |  |
| Determining the scope of the OH&S management system (OHSMS) |
| **4.4** | Food safety management system (FSMS) |  |  |  |  |
| OH&S management system (OHSMS) |
| **5 Leadership** |
| **5.1** | Leadership and commitment |  |  |  |  |
| **5.2** | Policy (FSMS) |  |  |  |  |
| OH&S policy (OHSMS) |
| **5.3** | Organizational roles, responsibilities and authorities |  |  |  |  |
| **5.4** | Consultation and participation of workers (OHSMS) |  |  |  |  |
| **6 Planning** |
| **6.1** | Actions to address risks and opportunities |  |  |  |  |
| **6.2** | Objectives of the food safety management system and planning to achieve them (FSMS) |  |  |  |  |
| OH&S objectives and planning to achieve them (OHSMS) |
| **6.3** | Planning of changes (FSMS) |  |  |  |  |
| **7 Support** |
| **7.1** | Resources |  |  |  |  |
| **7.2** | Competence |  |  |  |  |
| **7.3** | Awareness |  |  |  |  |
| **7.4** | Communication |  |  |  |  |
| **7.5** | Documented information |  |  |  |  |
| **8 Operation** |
| **8.1** | Operational planning and control |  |  |  |  |
| **8.2** | Prerequisite programmes (PRPs) (FSMS) |  |  |  |  |
| Emergency preparedness and response (OHSMS) |
| **8.3** | Traceability system (FSMS) |  |  |  |  |
| **8.4** | Emergency preparedness and response (FSMS) |  |  |  |  |
| **8.5** | Hazard control (FSMS) |  |  |  |  |
| **8.6** | Updating the information specifying the PRPs and the hazard control plan (FSMS) |  |  |  |  |
| **8.7** | Control of monitoring and measuring (FSMS) |  |  |  |  |
| **8.8** | Verification related to PRPs and the hazard control plan (FSMS) |  |  |  |  |
| **8.9** | Control of product and process nonconformities |  |  |  |  |
| **9 Performance evaluation** |
| **9.1** | Monitoring, measurement, analysis and evaluation |  |  |  |  |
| **9.2** | Internal audit |  |  |  |  |
| **9.3** | Management review |  |  |  |  |
| **10 Improvement** |
| **10.1** | Nonconformity and corrective action (FSMS) |  |  |  |  |
| General (OHSMS) |
| **10.2** | Continual improvement (FSMS) |  |  |  |  |
| Incident, nonconformity and corrective action (OHSMS) |
| **10.3** | Update of the food safety management system (FSMS) |  |  |  |  |
| Continual improvement (OHSMS) |
| **11. Additional requirements** |
|  | Use of Logo |  |  |  |  |
|  | List of documents included in the audited MS |  |  |  |  |
| **Notes and comments:** |  |

*For completed visits, mark “X” in the box for each clause/process covered.*

*For planned visits, mark “O” in the box for each clause/process to be covered.*