# Annex C: Surveillance Plan

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| **Surveillance Plan CSA STAR Certification** | | | | | |
| **1**: Initial Audit  **2:** Surveillance 1 Audit  **3**: Surveillance 2 Audit  **4**: Recertification Audit | | **Plan** | | | |
| **1**  **(202X)** | **2**  **(202X)** | **3**  **(202X)** | **4**  **(202X)** |
| **CSA STAR Requirements Certification** | | | | | |
|  | | | | | |
| **A&A** | Audit and Assurance |  |  |  |  |
| **AIS** | Application & Interface Security |  |  |  |  |
| **BCR** | Business Continuity Management &  Operational Resilience |  |  |  |  |
| **CCC** | Change Control & Configuration Management |  |  |  |  |
| **CEK** | Cryptography, Encryption & Key Management |  |  |  |  |
| **DCS** | Datacenter Security |  |  |  |  |
| **DSP** | Data Security & Privacy Lifecycle Management |  |  |  |  |
| **GRC** | Governance, Risk Management and Compliance |  |  |  |  |
| **HRS** | Human Resources |  |  |  |  |
| **IAM** | Identity & Access Management |  |  |  |  |
| **IPY** | Interoperability & Portability |  |  |  |  |
| **IVS** | Infrastructure & Virtualization Security |  |  |  |  |
| **LOG** | Logging and Monitoring |  |  |  |  |
| **SEF** | Security Incident Management, E-Discovery, & Cloud Forensics |  |  |  |  |
| **STA** | Supply Chain Management, Transparency, and Accountability |  |  |  |  |
| **TVM** | Threat & Vulnerability Management |  |  |  |  |
| **UEM** | Universal Endpoint Management |  |  |  |  |

*For completed visits, mark “X” in the box for each clause/process covered.*

*For planned visits, mark “O” in the box for each clause/process to be covered.*